

## Final Summary of the 2011 Global Call for Proposals

### Background

The first Global Call for Proposals was launched in September 2011. In total 55 proposals were received, including one global initiative, six regional and 48 country level initiatives. These proposals were first discussed at the 4<sup>th</sup> Steering Committee meeting in Jaipur, February 2012, when a number of providers expressed interest in providing support.

### Monitoring Response to the 2011 Global Call for Proposals

Since February 2012, the progress in matching proposals with providers has been monitored periodically and is summarised below. Initially, providers are asked to express indicative interest in providing support, and begin dialogue with the applicant. Later, providers are asked to classify the status of their dialogue according to the following categories: no interest, expression of interest, dialogue ongoing, and funding approved / project in progress.

**Table 1. Progress in Supporting the 2011 Global Call for Proposals**

	Indicative Interest in Providing Support	No interest	Expression of Interest	Dialogue Ongoing	Funding Approved or Project in Progress	% Projects Approved
February 2012	14/55	-	-	-	-	
June 2012	44/55	-	-	-	-	
September 2012		11	18	14	12	22%
September 2013		14	5	11	25	45%
September 2014		14	6	7	28	51%

The table shows that over half of all proposals have now been supported in some form. This includes: proposals fully met, proposals partly met by a new initiative, and proposals incorporated into an expanded or amended scope of an existing initiative. The table also demonstrates the length of time taken to turn proposals into concrete initiatives: most initiatives were approved or commenced between the September 2012 and 2013 updates, six to 18 months after receipt of the proposals. Only three more proposals were approved after the September 2013 update (Djibouti, Ecuador and Vietnam).

Of the proposals not yet supported, seven remain in dialogue with donors and SAIs. For two of these (Mauritania and Zambia), the SAI has submitted a new proposal under the 2013 Global Call, and withdrawn their previous proposal. For another three (Mongolia, Chile, El Salvador), the SAI has retained their 2011 proposal, and in addition submitted a concept note under the 2013 Global Call for Proposals, requesting additional support.

Six proposals remain at expression of interest stage. For three proposals (Afghanistan, Lesotho, Sao Tome and Principe), peer SAIs have offered in-kind staff support, but it has not been possible to find a partner provide the accompanying financial support. For two proposals (Central African Republic and Madagascar), the country governance or security situation prevents the interested donors from taking forward the dialogue. Finally, Tunisia has recently received an expression of interest in their proposal.

## Recording Proposals in the SAI Capacity Development Database

All 55 proposals were initially entered into the SAI Capacity Development Database, as 'proposed projects'. A marker was added to indicate that the proposal originated from the 2011 Global Call for Proposals. The 28 projects that have funding approved or project in progress have been classified in the database as completed, ongoing, or planned, accordingly.

As future Global Calls are carried out, it is important to ensure that proposed initiatives in the database remain relevant to the needs of the applicants. Therefore projects that have not yet been approved may be treated in one of three ways:

- **Retained:** left in the database as a proposed project at the request of the applicant, where there is ongoing interest from a potential provider of support. This may include applicants that have not submitted a proposal in a future global call, and applicants that have submitted a future proposal which does not replicate their earlier proposal. (A proposal will not be retained if the applicant submits a new proposal which largely covers the request in the earlier proposal).
- **Refreshed:** where there is no ongoing interest in a proposal, and the applicant has not submitted a new proposal under the following global call, applicants will be given the option to update their proposal by a fixed deadline. The updated proposal will be included in the SAI Capacity Development Database, and communicated to the Steering Committee at an appropriate time.
- **Removed:** proposals will be removed from the database in two situations. First, if the proposal has not been approved and a subsequent proposal replicates the request in the old proposal. Second, if there is no ongoing interest in a proposal and the applicant confirms that the proposal may be removed from the database as no longer being relevant to its needs.

Regarding the remaining 27 projects, the INTOSAI-Donor Secretariat has completed a process to consider whether they should be retained in the SAI Capacity Development Database. 17 proposals have been removed from the database (12 replaced by new concept notes and five considered no longer relevant by the SAI). Nine proposals have been retained due to ongoing interest, of which four of the applicants have submitted a further concept note covering different topics. Finally, 1 applicant (SAI Yemen) has refreshed its proposal, and the updated proposal has been included in the SAI Capacity Development Database.

There are therefore 38 proposals from the 2011 Global Call remaining in the database. However, for two proposals (Jordan and Uganda), the initial proposal has been separated into two database entries, one recording the partial support received from a provider, the other recording the remainder of the proposal for which support is still sought.

The status of all 55 proposals from the 2011 Global Call, including their treatment in the database, is shown in the following table.

Table 2. Status of Proposals from the 2011 Global Call

Region	No interest	Expression of Interest	Dialogue Ongoing	Funding Approved or Project in Progress*
AFROSAI-E		Lesotho <sup>^</sup>	Zambia (World Bank)	AFROSAI-E: Support to the Strategic Plan Seychelles Sierra Leone
ARABOSAI	Algeria Yemen	Tunisia	Mauritania (World Bank) Sudan (DFID & World Bank)	ARABOSAI: Support to the Strategic Plan and Train the Trainers Jordan Palestine
ASOSAI		Afghanistan <sup>^</sup>	Mongolia (ADB) Nepal (SAI India & USAID)	ASOSAI: ISSAI Implementation Bhutan Maldives
CAROSAI	St. Lucia St. Vincent			CAROSAI: Support to the Strategic Plan and CAROSAI Secretariat Barbados Trinidad and Tobago
CREFIAC	Burundi	Central African Republic <sup>#</sup> Madagascar <sup>#</sup> Sao Tome and Principe <sup>^</sup>		CREFIAC: Performance Auditing Cape Verde Chad Djibouti
EUROSAI				Macedonia Moldova
OLACEFS	Brazil Honduras Venezuela		Chile (IADB & World Bank) El Salvador (World Bank)	Argentina Ecuador Panama Paraguay
PASAI	Kiribati Samoa Tonga			PASAI: Support to the Strategic Plan
Global	intoSAINT			
<b>TOTAL</b>	<b>14</b>	<b>6</b>	<b>7</b>	<b>28</b>
<b>TOTAL left in database</b>	<b>1</b>	<b>4</b>	<b>5</b>	<b>28</b>

\* Indicates that at least part of the proposal has been approved or is in progress, though elements of the proposal may not have been supported.

<sup>^</sup> In-kind staff support offered from an SAI, but no donor interest in providing the necessary financial support.

<sup>#</sup> Country governance or security situation has prevented expression of interest being taken further at this stage.

#### Treatment of Non-Funded Proposals in the SAI Capacity Development Database

Removed (12) – request included within concept note under GCP2013

Removed (5) – applicant confirmed to remove proposal from database

Retained (5) – continued interest or dialogue and no concept note under GCP2013

Retained (4) – continued interest or dialogue, and request is not fully covered by the applicant's concept note under GCP2013

Refreshed (1) – applicant wishes to refresh its proposal for inclusion in the GCP2013